

Prevention of *Candida* transmission to neonates – a multifaceted issue

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**Why are Candida species so dangerous
for the new-borns and neonates ?**





About the host



- All organ systems of the body undergo a dramatic transition at birth, from a sheltered intra-uterine existence to the radically distinct environment of the outside world
- This acute transition is then followed by a gradual, age-dependent maturation
- The newborns are NOT adult miniatures



About the host

The fetus and newborn face a complex set of immunological endeavors:

- protection against infection
- avoidance of harmful inflammatory immune responses that can lead to pre-term delivery
- balancing the transition from a sterile intra-uterine environment to a world that is rich in foreign antigens (primary colonization of the skin and intestinal tract by microorganisms)

Levy – Nat Rev Immunol 2007

About the host



Are them prepared for these challenges ?

Not really ...

The newborns represent a vulnerable population susceptible to microbial infections.



About the host

Neonatal skin

- Is fragile at birth and even small breaks in the integrity of the skin can serve as lead points for infection
- The vernix caseosa (a waxy coating on newborns) contains antimicrobial peptides and proteins (APPs) including lysozyme, α -defensins, ubiquitin and psoriasin, as well as antimicrobial free fatty acids that can act in synergy with APPs to kill microorganisms (including *Escherichia coli* and *Candida albicans*).

Levy – Nat Rev Immunol 2007



About the host

Neonatal immune system

- In the very first period after birth, both innate and adaptive immune systems are deficient
- Neonates possess a developing immune system and have little immunological memory – vulnerability to infections
- Cellular immune system matures rapidly in the first three months of life – process influenced by multiple factors
- It is estimated that 40% of the annual 3 million worldwide neonatal deaths are the result from infections

Levy – Nat Rev Immunol 2007

Liu et al. – Lancet 2012

Basha et al. – Expert Rev Clin Immunol 2014



About the host

Neonatal neutrophils

- Major component of the innate immune system and are responsible for engulfing and killing pathogens during infection
- Characterized by quantitative and qualitative deficiencies; these defects in neutrophil amplification, mobilization and function make neonates particularly susceptible to sepsis
- Both neutrophil storage pools as well as production of neutrophil progenitor cells in neonates are less than those of adults leading to diminished neutrophil responses to infection.

Levy – Nat Rev Immunol 2007

Basha et al. – Expert Rev Clin Immunol 2014



About the host

Neonatal neutrophils

- Show impairment of multiple functional aspects, including chemotaxis, rolling adhesion, transmigration and lamellipodia formation
- Have lower surface expression levels of TLR4 but similar levels of expression of TLR2 compared to adults
- Have reduced capacity to phagocytize pathogens and limited ability to degrade the ingested pathogens (reduced amounts of some APPs, including lactoferrin: 50% of adult levels)
- These neutrophil defects are even more pronounced with prematurity, but begin to correct within the first weeks of life

Levy – Nat Rev Immunol 2007

Melvan et al. – Int Rev Immunol 2010

Basha et al. – Expert Rev Clin Immunol 2014



About the host

Other immune effectors

- **Antigen Presenting Cells (APCs) – monocytes and dendritic cells (DCs) are low in numbers and are found to express lower MHC-II (CD80 and CD86) compared to adult cells indicating their inability to fully activate antigen specific T and B cell responses**
- **Suboptimal Th1 responses (IL-1, TNF, IFN-gamma, IL-12, IL-18) and B-cell differentiation**
- **Plasma concentrations of complement components are diminished compared with those in adults (10–70% of adult levels) contributing to the impairment of neonatal adaptive responses**

Willems et al. – Eur J Immunol 2009

Basha et al. – Expert Rev Clin Immunol 2014



About the host

Other immune effectors

- Normally, this state of immune immaturity is a passing period that lasts few month after birth
- It can persist in a group of infants and young children (6–36 months) who display a Prolonged Neonatal-Like Immune Profile (PNIP)
- PNIP seems to be an important issue in toddlers (in the U.S. alone each year, there may be 1–1.2 million children with PNIP)

Pichichero et al. – *Pediatr Infect Dis J* 2013

About the host

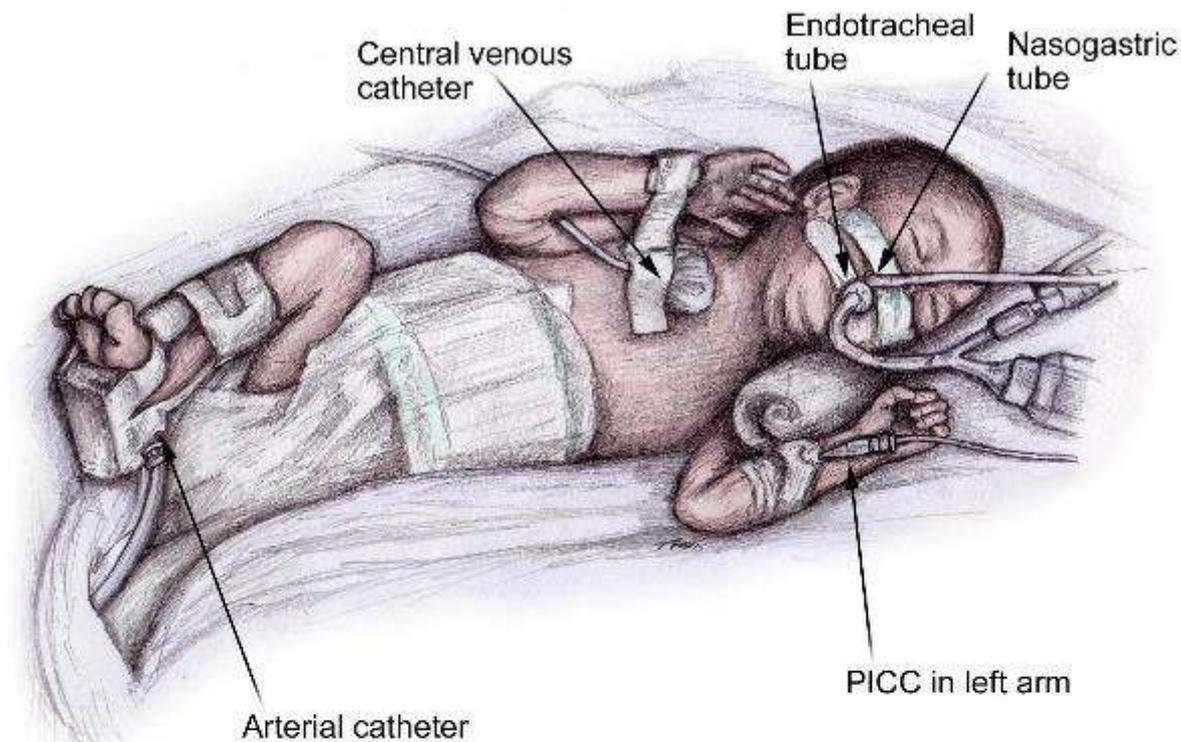
Risk factors for *Candida* infection



- The younger gestational age and lower birth weight, the higher risk to develop invasive fungal infections
- The incidence increases in an inverse linear pattern (3% at 28 weeks' gestation to 24% at 23 weeks' gestation)
- Incidence of candidemia is reported as 2-6.8% among VLBW infants (<1500 g) and higher in ELBW infants (<1000 g), ranging from 4-16%

**The major risk factor
– preterm birth**

Makhoul et al. – Pediatrics 2001
Johnsson and Ewald – Acta Paediatr 2004
Benjamin et al. – Pediatrics 2010



Risk Factors

Invasive therapies

Central vascular catheters
Endotracheal tube

Patient factors

Immature skin
Dermatitis
Colonization
Necrotizing enterocolitis
Focal bowel perforation
Cholestasis

Infusates

Parenteral nutrition
Lipid emulsions

Medications

Postnatal steroids
Broad-spectrum antibiotics
H2 antagonists

Fungal Infection

Sepsis
Urinary tract infection
Meningitis

End-Organ Dissemination

Endocarditis
Abscess formation
(kidneys, liver, brain, skin)
Endophthalmitis
Bone and joints

Kaufman 2014

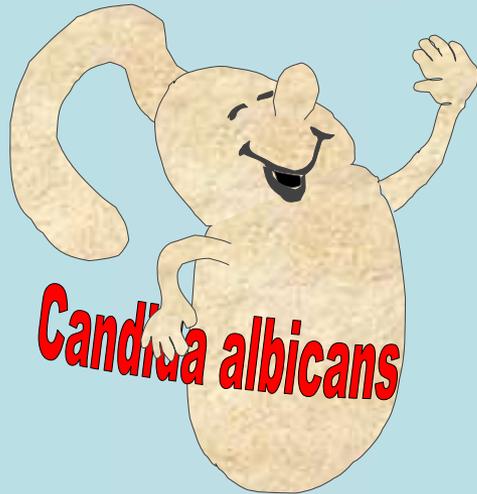
(<http://emedicine.medscape.com/article/980487>)

- Other “added” risk factors (mainly iatrogenic)
- Immunocompromised infants usually require invasive therapies, broad spectrum antibiotics and parenteral nutrition
- High risk for invasive fungal infections



About the microorganisms

The main players



Candida albicans



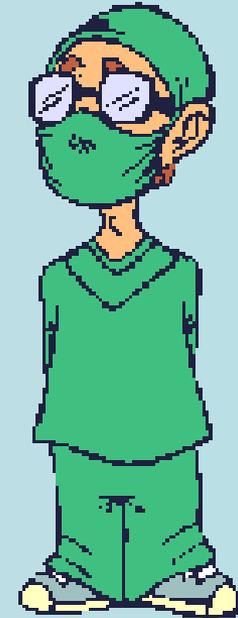
Candida parapsilosis



Other species



Candida auris



Pfaller et al. – J Clin Microbiol 2002
Armstrong et al. – CDC EIS Conference 2017



About the microorganisms

Emerging pathogen *Candida auris*

Clinical Infectious Diseases
EDITORIAL COMMENTARY

AIDS hivma

Journal of Hospital Infection 94 (2016) 209–212

Available online at www.sciencedirect.com

Journal of Hospital Infection

www.elsevier.com/locate/jhin

RESEARCH **Open Access**

First hospital outbreak of the globally emerging *Candida auris* in a European hospital

Sike Schele^{a,*,†}, Terry Fager^b, Johanna L. Prodes^c, A. Neza Abdouhassou^d, Anuradha Chowdhary^e, Anne Faloutsos^f, Ryan J. Keone^g, Shoshita^h, Richard Tumberⁱ, Jacques F. Meis^j, Dennis Armstrong-James^k and Matthew C. Fisher^l

Review

Multidrug-resistant *Candida auris*: 'new kid in block' in hospital-associated infections?

A. Chowdhary^{a,*}, A. Voss^{b,c}, J.F. Meis^{b,c}

Antimicrobial and Chemotherapy Society

PLOS PATHOGENS

PEARLS

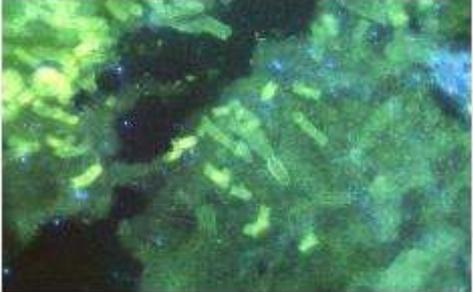
Candida auris: A rapidly emerging cause of hospital-acquired multidrug-resistant fungal infections globally

Anuradha Chowdhary^{1,*}, Cheshta Sharma¹, Jacques F. Meis^{1,2}

The Emerging Pathogen *Candida auris*: Growth Phenotype, Virulence Factors, Activity of Antifungals, and Effect of SCY-078, a Novel Glucan Synthesis Inhibitor, on Growth Morphology and Biofilm Formation

Emily Larkin^a, Christopher Hager^a, Jyotsna Chandra^a, Pranab K. Mulhoojee^a, Mauricio Recuerdo^a, Iman Salem^a, Usa Long^a, Nancy Ishary^a, Laura Kovanda^b, Katyna Barreto-Esoda^c, Steve Wring^c, David Angulo^c, Mahmoud Ghanoun^c

Deadly strain of yeast infection pops up in hospitals around the world



About the microorganisms

Emerging pathogen *Candida auris*



First described in Japan in 2009 (ear swab) and reported as cause of BSI in 2011 (South Korea)

Isolated from deep-seated infections in countries from five continents

Chowdhary et al. – J Hosp Infect 2016
Chowdhary et al. – PLOS Pathogens 2017



About the microorganisms

Emerging pathogen *Candida auris*

- **Outbreaks in several neonatal ICUs in Colombia (USA) in 2016 (four hospitals in three different cities)**
- **40 cases with 56% in-hospital mortality (all patients with central venous catheter)**
- **Two nurses' hands yielded *C. auris*, suggesting the route of transmission was transient colonization from other patients or equipment and environmental surfaces (reservoirs within the healthcare setting)**

Clancy and Nguyen – Clin Infect Dis 2017
Armstrong et al. – CDC EIS Conference 2017



What makes *C. auris* a redoubtable pathogen?

- **It causes serious infections** – bloodstream infections with high mortality (more than 1 in 3 patients with *C. auris* invasive infection die)
- **It's becoming more common** – since its discovery in 2009, it has spreading in more than 15 countries (Oman reported in 2017)
- **It's difficult to identify** – phenotypically misidentified as *Candida haemulonii*, *C. famata*, *C. lusitaniae*, *C. sake*, *S. cerevisiae*, and *R. glutinis* by commercial ID systems
- The correct identification requires **molecular sequencing** (ITS or LSU region) or **MALDI-TOF MS**

Chowdhary et al. – J Hosp Infect 2016

Mohsin et al. – Mycoses 2017

Chowdhary et al. – PLOS Pathogens 2017



What makes *C. auris* a redoubtable pathogen?

- **It's often resistant to antifungals** (highly resistant to FLC ($MIC_{90} > 64$ mg/L))
- 50% of isolates exhibit high MICs to VOR (> 2 mg/L) and 15-30% to AMB (> 2 mg/L)
- Few isolates proved to be resistant to all classes of antifungals (4%)
- **Multidrug-resistant organism - It's acting like a super bug!**

Kathuria et al. – J Clin Microbiol 2015
Chowdhary et al. – J Hosp Infect 2016
Chowdhary et al. – PLOS Pathogens 2017



What makes *C. auris* a redoubtable pathogen?

- ATP Binding Cassette (ABC)-type **efflux activity** by Rhodamine 6G transport was significantly greater among *C. auris* than *C. glabrata* isolates, suggesting the intrinsic resistance of *C. auris* to azoles
- Whole genome sequencing (WGS) data shows *C. auris* to be a close phylogenetic relative of *C. lusitaniae*, a species recognized for intrinsic antifungal resistance
- Able to produce biofilms (CAS predominantly inactive against *C. auris* biofilms)

Chowdhary et al. – J Hosp Infect 2016

Ben-Ami et al. – Emerg Infect Dis 2017

Sharma et al. – New Microbes New Infect 2016



What makes *C. auris* a redoubtable pathogen?

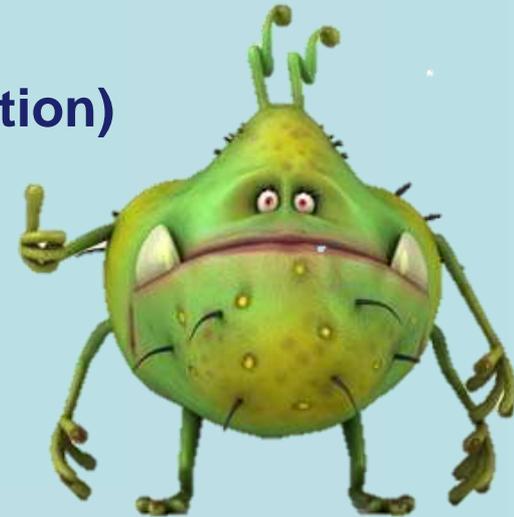
- **It can spread in hospitals and nursing homes**
- **Prolonged persistent patient colonization at multiple anatomic sites (especially axilla and groin)**
- ***C. auris* can live on inanimate surfaces several weeks**

Satoh et al. – Microbiol Immunol 2009
Chowdhary et al. – J Hosp Infect 2016



***Candida* contamination of new-borns and neonates**

- Many sources / many ways
- Vaginal delivery (maternal fungal colonization)
- Patient to patient transmission
- Health care workers colonization
- Indoor environment contamination
- Contaminated infusates

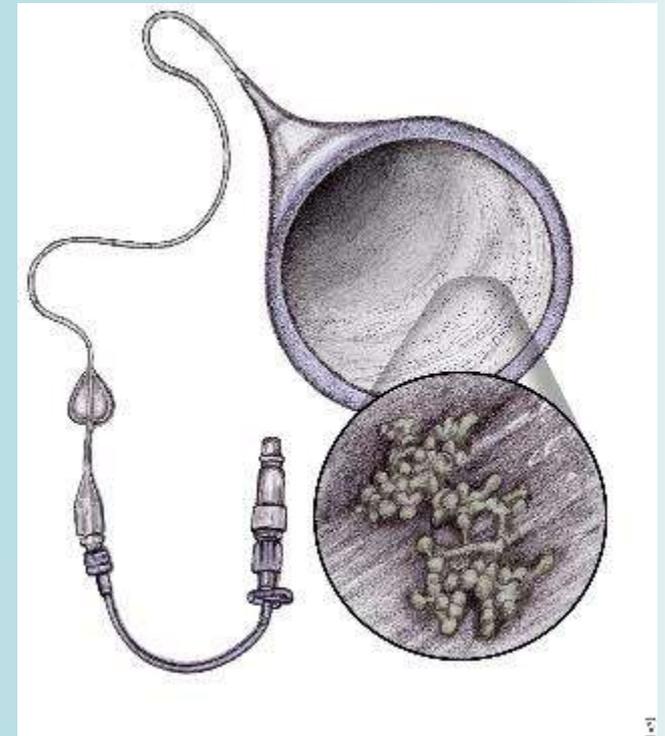


Kaufman and Fairchild – Clin Microbiol Rev 2004
Armstrong et al. – CDC EIS Conference 2017

About the microorganisms

Transmission

- In preterm infants, vertical and horizontal transmission leads to colonization of the skin, mucosal membranes (GI and respiratory tracts), and central vascular catheters (biofilms)
- Broad-spectrum antibiotics (3rd generation cephalosporins), postnatal steroids (dexamethasone), histamine type-2 antagonists, parenteral nutrition contribute to an extensive colonization and dissemination



Kaufman 2014
(<http://emedicine.medscape.com/article/980487>)

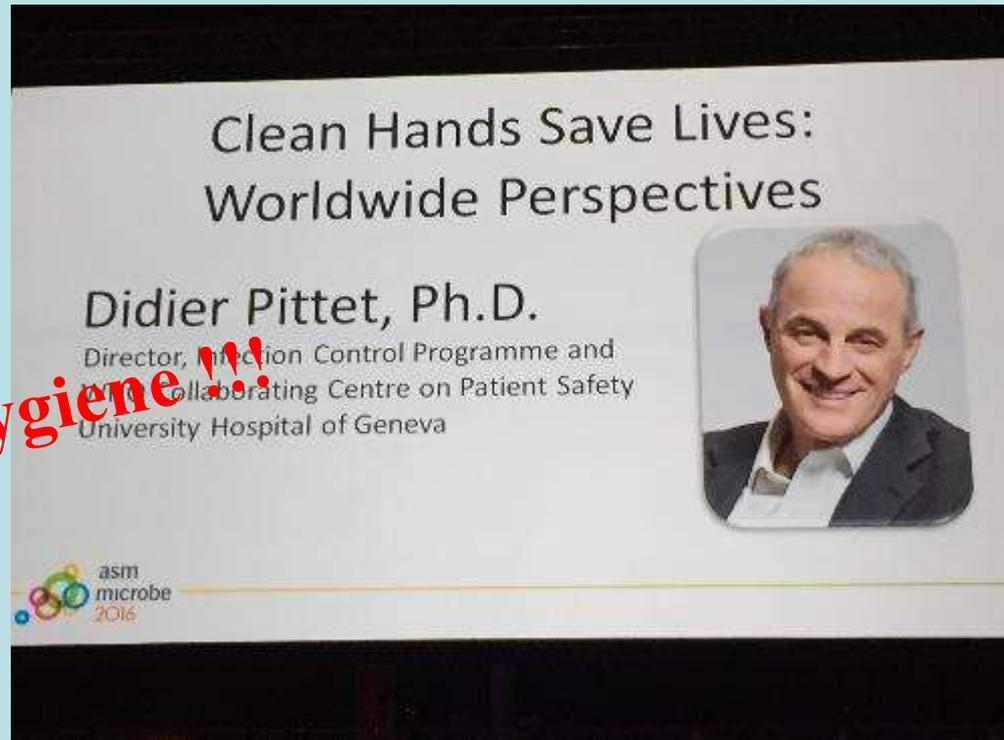
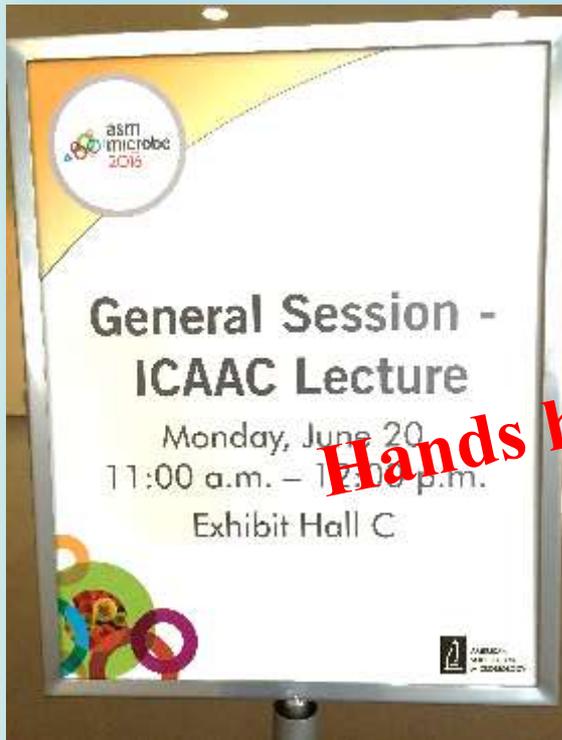


How we can prevent *Candida* transmission in new-borns and neonates ?

- **Strict adherence of healthcare workers to hand hygiene !!!**
- **Clean indoor environment in hospitals**
- **Decolonization of patients**



How we can prevent *Candida* transmission in new-borns and neonates ?



Since 2014: WHO Essential Medicines List





How we can prevent *Candida* transmission in new-borns and neonates ?

Clean indoor environment in hospitals

- Daily cleaning and disinfection of patient rooms are recommended, as well as terminal cleaning and disinfection between patients
- *C. auris* can persist weeks on surfaces in healthcare environments
- Quaternary ammonia products routinely used for disinfection may not be effective



How we can prevent *Candida* transmission in new-borns and neonates ?

Clean indoor environment in hospitals

- Exposure to H₂O₂ vapors as per routine bio-decontamination technology in healthcare settings is 96.6-100% effective in killing *C. auris* and 100% for other clinically important *Candida* species
- Chlorine releasing agents at 1000 ppm for routine cleaning around patient bed areas and 10000 ppm for terminal environmental cleaning are active against *C. auris* and other *Candida* spp. (*C. parapsilosis* seems to need higher conc.)
- A disinfectant effective against *Clostridium difficile* spores is recommended (CDC) - List K of US Environmental Protection Agency



How we can prevent *Candida* transmission in new-borns and neonates ?

Decolonization should be considered...

- Mothers with vaginal candidiasis or a high load of vaginal yeasts (before the delivery) – topical antifungals
- Colonized patients
- Chlorhexidine gluconate and iodinated povidone are effective in killing *C. auris* and other *Candida spp.* at concentrations used in clinical practice
- Useful for decolonization of patients skin and decontamination of health care workers (Iodinated PVP > chlorhexidine gluconate)



My group experience

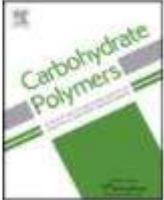
Carbohydrate Polymers 152 (2016) 306–316

Contents lists available at ScienceDirect

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Carbohydrate Polymers

journal homepage: www.elsevier.com/locate/carbpol



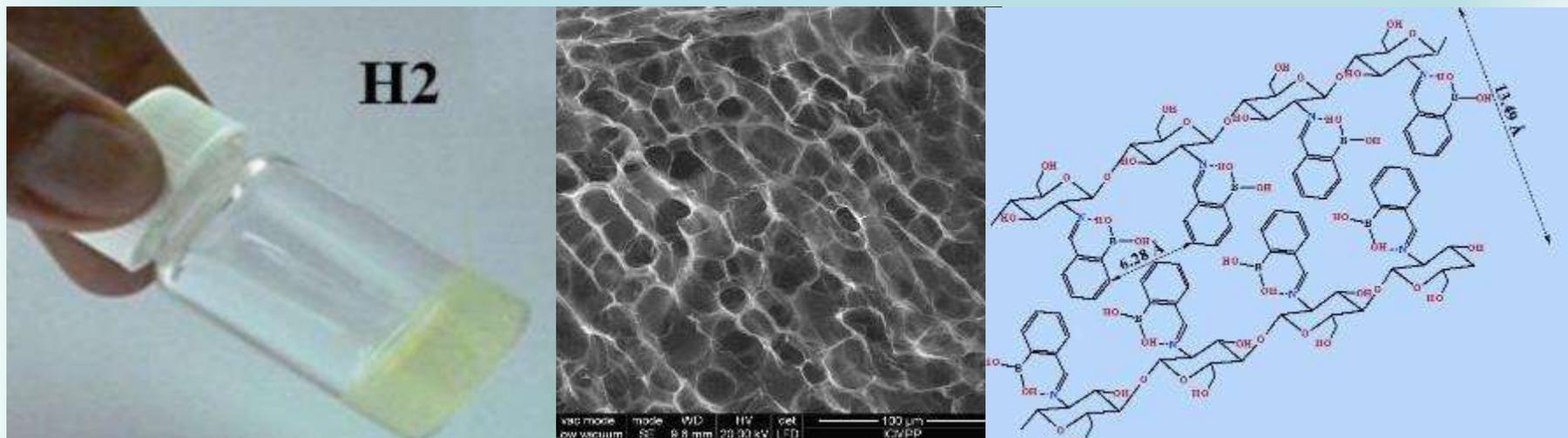
Dual crosslinked iminoboronate-chitosan hydrogels with strong antifungal activity against *Candida* planktonic yeasts and biofilms

 CrossMark

Daniela Ailincăi^a, Luminita Marin^{a,+}, Simona Morariu^a, Mihai Mares^b,
Andra-Cristina Bostanaru^b, Mariana Pinteala^a, Bogdan C. Simionescu^{a,c}, Mihai Barboiu^{a,d}

- **Supramolecular self-assembling hydrogel based on chitosan and 2-formylphenylboronic acid**
- **Designed for the treatment of vulvovaginal candidiasis and vaginal *Candida* decolonization**

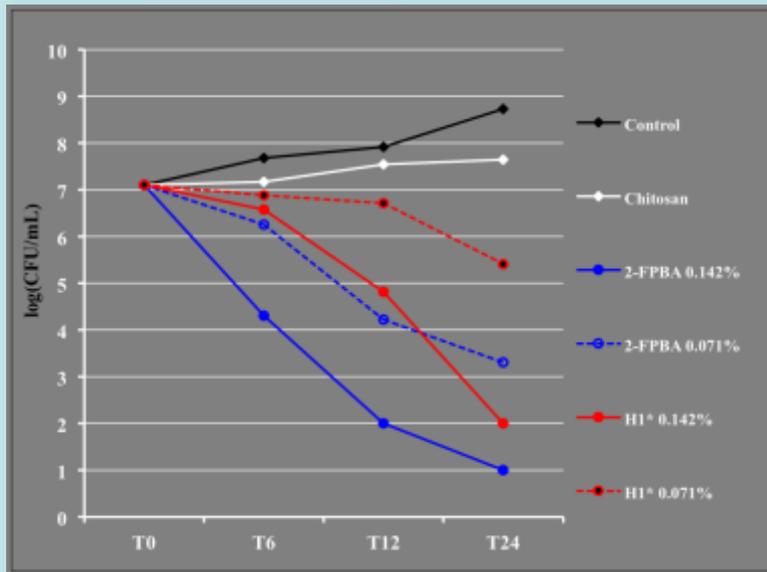
- Screening for boron derivatives with antifungal activity and ability to build supramolecular structures with natural polysaccharides (2-formylphenylboronic acid)



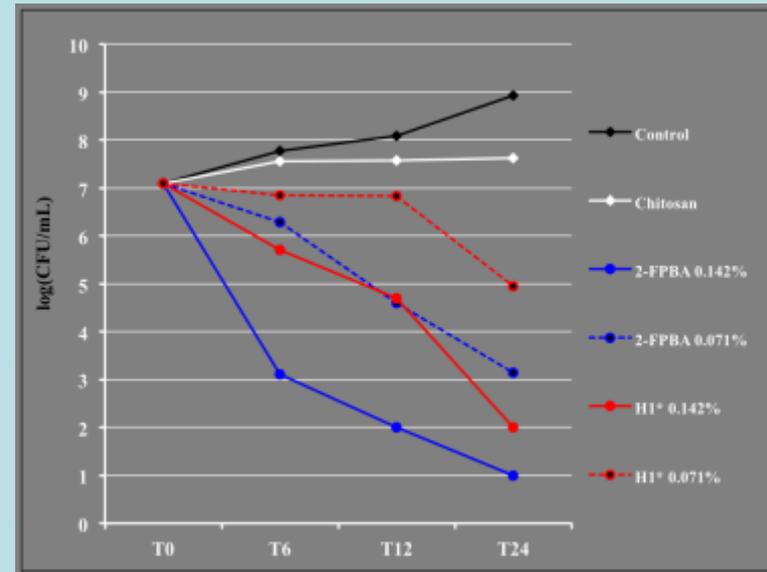
Ailincăi et al. – Carbohydr Polym 2016

- Antimicrobial evaluation of the complex compound in biomimetic conditions (synthetic vaginal simulative medium pH 4.2) and in a model of VVC in Balb/C mice
- Planktonic and biofilms of *C. albicans* and *C. glabrata* (*in vitro*)
- *C. albicans* (*in vivo*)

Ailincăi et al. – Carbohyd Polym 2016



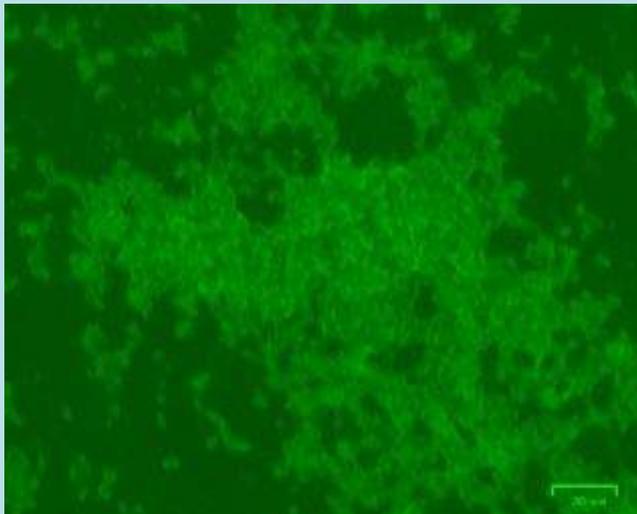
Candida albicans



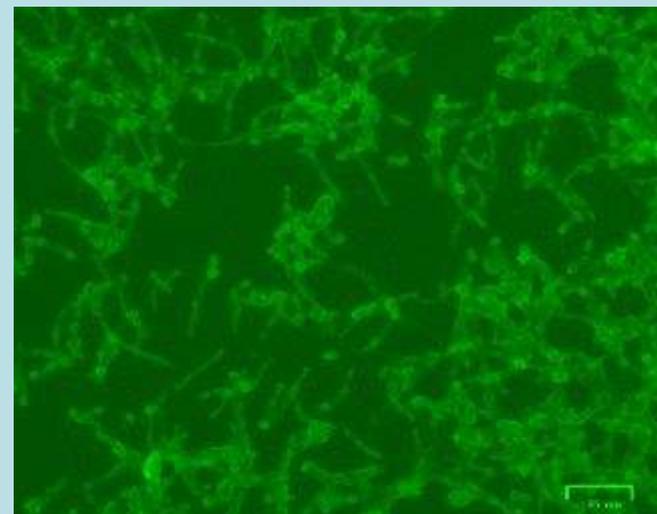
Candida glabrata

Table 3. XTT assay – Decreasing of biofilm metabolic activity

Tested strains	Control	0.142% 2-FPBA in H1*		0.284% 2-FPBA in H1*	
	Abs (\bar{x})	Abs (\bar{x})	% reduction	Abs (\bar{x})	% reduction
<i>C. albicans</i> 1112	0.758	0.002	99.74	0.001	99.87
<i>C. glabrata</i> 1532	1.020	0.007	99.31	0.003	99.71



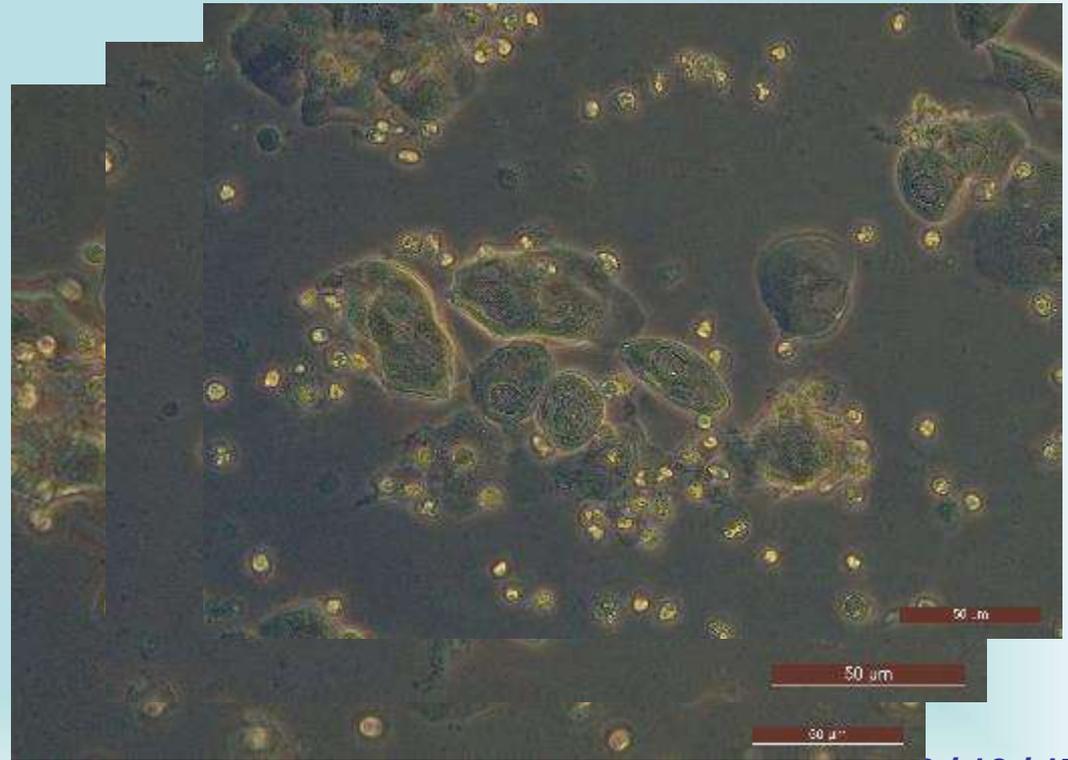
Mature biofilm in a drug-free control well after 48 h: abundant matrix embedding the filaments and sessile yeast cells



Biofilm after the treatment with 0.284% 2-FPBA hydrogel, for 24 h: visible filaments and sessile yeast cells, matrix in trace amounts



- **Murine model of VVC – *C. albicans* wild type SC5314** Yano and Fidel – J Vis Exp 2011
- **Balb/C mice**
- **3 log reduction (single dose) and > 5 log reduction (3 doses)**





Take-home messages

- **Multiple sources and transmission ways**
- **Preterm birth (low weight) is the major risk factor**
- **Central vascular catheters are colonized (biofilms)**
- **C. albicans and C. parapsilosis more frequent, C. auris emergent threat**
- **Strict adherence to hand hygiene and hospital environment decontamination are extremely important for infection control**
- **Decolonization of skin/vagina should be considered**



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Diagnosis & Therapy of Fungal Diseases

