



# Prevention of *Candida* transmission to neonates – a multifaceted issue

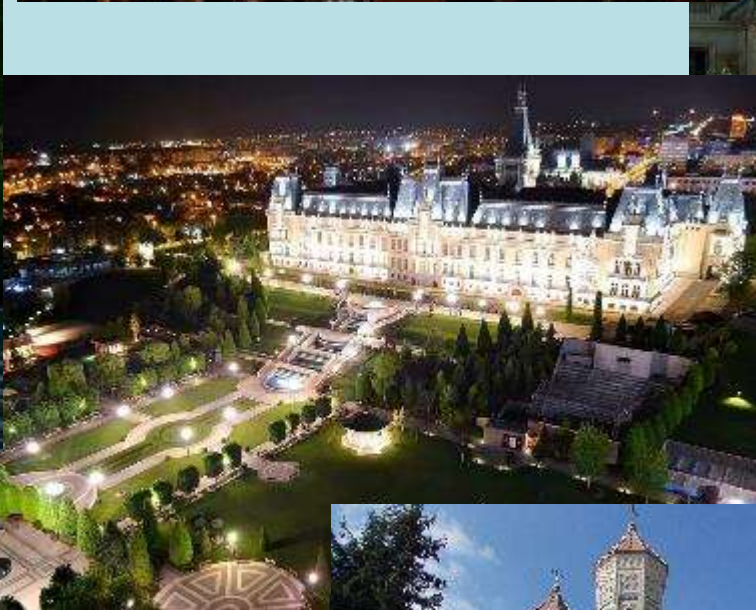
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**Iași - Romania**









**Why are Candida species so dangerous  
for the new-borns and neonates ?**



## About the host



- All organ systems of the body undergo a dramatic transition at birth, from a sheltered intra-uterine existence to the radically distinct environment of the outside world
- This acute transition is then followed by a gradual, age-dependent maturation
- The newborns are NOT adult miniatures



## About the host

The fetus and newborn face a complex set of immunological endeavors:

- protection against infection
- avoidance of harmful inflammatory immune responses that can lead to pre-term delivery
- balancing the transition from a sterile intra-uterine environment to a world that is rich in foreign antigens (primary colonization of the skin and intestinal tract by microorganisms)

Levy – Nat Rev Immunol 2007

## About the host



Are them prepared for these challenges ?

**Not really ...**

The newborns represent a vulnerable population susceptible to microbial infections.



# About the host

## Neonatal skin

- Is fragile at birth and even small breaks in the integrity of the skin can serve as lead points for infection
- The vernix caseosa (a waxy coating on newborns) contains antimicrobial peptides and proteins (APPs) including lysozyme,  $\alpha$ -defensins, ubiquitin and psoriasin, as well as antimicrobial free fatty acids that can act in synergy with APPs to kill microorganisms (including *Escherichia coli* and *Candida albicans*).

Levy – Nat Rev Immunol 2007





# About the host

## Neonatal immune system

- In the very first period after birth, both innate and adaptive immune systems are deficient
- Neonates possess a developing immune system and have little immunological memory – vulnerability to infections
- Cellular immune system matures rapidly in the first three months of life – process influenced by multiple factors
- It is estimated that 40% of the annual 3 million worldwide neonatal deaths are the result from infections

Levy – Nat Rev Immunol 2007

Liu et al. – Lancet 2012

Basha et al. – Expert Rev Clin Immunol 2014



# About the host

## Neonatal neutrophils

- Major component of the innate immune system and are responsible for engulfing and killing pathogens during infection
- Characterized by quantitative and qualitative deficiencies; these defects in neutrophil amplification, mobilization and function make neonates particularly susceptible to sepsis
- Both neutrophil storage pools as well as production of neutrophil progenitor cells in neonates are less than those of adults leading to diminished neutrophil responses to infection.

Levy – Nat Rev Immunol 2007

Basha et al. – Expert Rev Clin Immunol 2014



# About the host

## Neonatal neutrophils

- Show impairment of multiple functional aspects, including chemotaxis, rolling adhesion, transmigration and lamellipodia formation
- Have lower surface expression levels of TLR4 but similar levels of expression of TLR2 compared to adults
- Have reduced capacity to phagocytize pathogens and limited ability to degrade the ingested pathogens (reduced amounts of some APPs, including lactoferrin: 50% of adult levels)
- These neutrophil defects are even more pronounced with prematurity, but begin to correct within the first weeks of life

Levy – Nat Rev Immunol 2007

Melvan et al. – Int Rev Immunol 2010

Basha et al. – Expert Rev Clin Immunol 2014





# About the host

## Other immune effectors

- Antigen Presenting Cells (APCs) – monocytes and dendritic cells (DCs) are low in numbers and are found to express lower MHC-II (CD80 and CD86) compared to adult cells indicating their inability to fully activate antigen specific T and B cell responses
- Suboptimal Th1 responses (IL-1, TNF, IFN-gamma, IL-12, IL-18) and B-cell differentiation
- Plasma concentrations of complement components are diminished compared with those in adults (10–70% of adult levels) contributing to the impairment of neonatal adaptive responses

Willems et al. – Eur J Immunol 2009

Basha et al. – Expert Rev Clin Immunol 2014



# About the host

## Other immune effectors

- Normally, this state of immune immaturity is a passing period that lasts few month after birth
- It can persist in a group of infants and young children (6–36 months) who display a Prolonged Neonatal-Like Immune Profile (PNIP)
- PNIP seems to be an important issue in toddlers (in the U.S. alone each year, there may be 1–1.2 million children with PNIP)

Pichichero et al. – Pediatr Infect Dis J 2013

# About the host

## Risk factors for *Candida* infection



- The younger gestational age and lower birth weight, the higher risk to develop invasive fungal infections
- The incidence increases in an inverse linear pattern (3% at 28 weeks' gestation to 24% at 23 weeks' gestation)
- Incidence of candidemia is reported as 2-6.8% among VLBW infants (<1500 g) and higher in ELBW infants (<1000 g), ranging from 4-16%

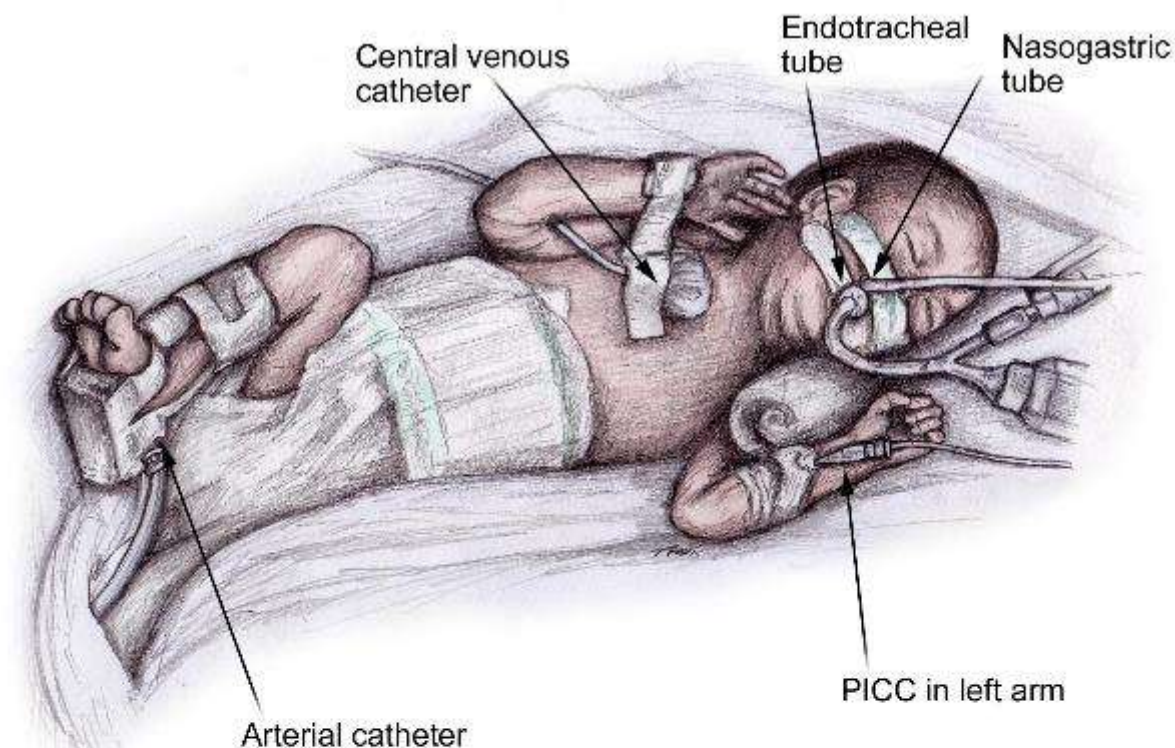
**The major risk factor  
– preterm birth**

Makhoul et al. – Pediatrics 2001

Johnsson and Ewald – Acta Paediatr 2004

Benjamin et al. – Pediatrics 2010





#### Risk Factors

##### **Invasive therapies**

Central vascular catheters  
Endotracheal tube

##### **Patient factors**

Immature skin  
Dermatitis  
Colonization  
Necrotizing enterocolitis  
Focal bowel perforation  
Cholestasis

##### **Infusates**

Parenteral nutrition  
Lipid emulsions

##### **Medications**

Postnatal steroids  
Broad-spectrum antibiotics  
H2 antagonists

#### Fungal Infection

Sepsis  
Urinary tract infection  
Meningitis

#### End-Organ Dissemination

Endocarditis  
Abscess formation  
(kidneys, liver, brain, skin)  
Endophthalmitis  
Bone and joints

- Other “added” risk factors (mainly iatrogenic)
- Immunocompromised infants usually require invasive therapies, broad spectrum antibiotics and parenteral nutrition
- High risk for invasive fungal infections

**Kaufman 2014**

(<http://emedicine.medscape.com/article/980487>)

# About the microorganisms

## The main players



*Candida albicans*



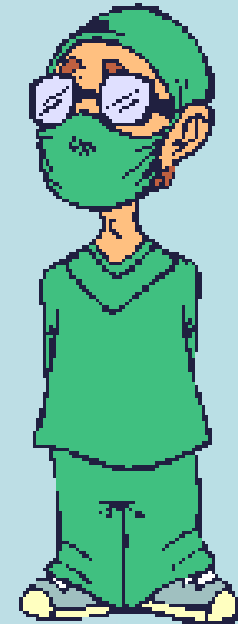
*Candida parapsilosis*



*Candida auris*



*Other species*



Pfaller et al. – J Clin Microbiol 2002

Armstrong et al. – CDC EIS Conference 2017



# About the microorganisms

## Emerging pathogen *Candida auris*

*Clinical Infectious Diseases*  
**EDITORIAL COMMENTARY**

**UNAIDS**  
United Nations Programme on HIV/AIDS

**hivma**  
HIV Medicine Association

Journal of Antimicrobial Chemotherapy  
DOI: 10.1093/ac/ckr1215-013-013-0

Antimicrobial Resistance  
and Infection Control

**Emergence of *Candida auris*: An International Call to Arms**

Cornelius J. Clancy<sup>1\*</sup> and M. Hoog  
Department of Medicine, University of Pittsburgh

**ELSEVIER**

Journal of Hospital Infection  
Available online at www.sciencedirect.com  
journal homepage: www.elsevier.com/locate/jhin

**RESEARCH** **Open Access**

**First hospital outbreak of the globally emerging *Candida auris* in a European hospital**

Sike Schelenz<sup>1,2\*</sup>, Terry Hager<sup>1</sup>, Johanna L. Prodes<sup>1</sup>, Afrezza Apostolidou<sup>1</sup>, Anuradha Chowdhary<sup>1</sup>, Anne E. Hall<sup>1</sup>, Lisa Ryan<sup>1</sup>, Joanne Shotton<sup>1</sup>, Richard Tumbarello<sup>1</sup>, Jacques F. Meis<sup>1</sup>, Dennis Armstrong-Jones<sup>1</sup> and Matthew C. Fisher<sup>1</sup>

**ANTHONY SOCIETY FOR MICROBIOLOGY**  
Antimicrobial and Chemotherapy

**Review**  
**Multidrug-resistant *Candida auris*: 'new kid in block' in hospital-associated infections?**

A. Chowdhary<sup>a,\*</sup>, A. Voss<sup>b,c</sup>, J.F. Meis<sup>b,c</sup>

**The Emerging Pathogen *Candida auris*: Growth Phenotype, Virulence Factors, Activity of Antifungals, and Effect of SCY-078, a Novel Glucan Synthesis Inhibitor, on Growth Morphology and Biofilm Formation**

Emily Larkin,<sup>a</sup> Christopher Hager,<sup>a</sup> Jyotsna Chandra,<sup>a</sup> Pranab K. Mulhoojee,<sup>a</sup> Waurido Retuerto,<sup>a</sup> Iman Salem,<sup>a</sup> Usa Long,<sup>a</sup> Nancy Ishary,<sup>a</sup> Laura Kovanda,<sup>b</sup> Katyna Barreto-Esoda,<sup>c</sup> Steve Wring,<sup>c</sup> David Angulo,<sup>c</sup> Mahmoud Ghannoun<sup>a</sup>

**PLOS** PATHOGENS

**Deadly strain of yeast infection pops up in hospitals around the world**

**PEARLS**  
***Candida auris*: A rapidly emerging cause of hospital-acquired multidrug-resistant fungal infections globally**

Anuradha Chowdhary<sup>1,\*</sup>, Cheshta Sharma<sup>1</sup>, Jacques F. Meis<sup>1,2</sup>



# About the microorganisms

## Emerging pathogen *Candida auris*



First described in Japan in 2009 (ear swab) and reported as cause of BSI in 2011 (South Korea)

Isolated from deep-seated infections in countries from five continents

Chowdhary et al. – J Hosp Infect 2016  
Chowdhary et al. – PLOS Pathogens 2017



# About the microorganisms

## Emerging pathogen *Candida auris*

- Outbreaks in several neonatal ICUs in Colombia (USA) in 2016 (four hospitals in three different cities)
- 40 cases with 56% in-hospital mortality (all patients with central venous catheter)
- Two nurses' hands yielded *C. auris*, suggesting the route of transmission was transient colonization from other patients or equipment and environmental surfaces (reservoirs within the healthcare setting)

Clancy and Nguyen – Clin Infect Dis 2017  
Armstrong et al. – CDC EIS Conference 2017



## What makes *C. auris* a redoubtable pathogen?

- **It causes serious infections** – bloodstream infections with high mortality (more than 1 in 3 patients with *C. auris* invasive infection die)
- **It's becoming more common** – since its discovery in 2009, it has spreading in more than 15 countries (Oman reported in 2017)
- **It's difficult to identify** – phenotypically misidentified as *Candida haemulonii*, *C. famata*, *C. lusitaniae*, *C. sake*, *S. cerevisiae*, and *R. glutinis* by commercial ID systems
- The correct identification requires **molecular sequencing** (ITS or LSU region) or **MALDI-TOF MS**

Chowdhary et al. – J Hosp Infect 2016

Mohsin et al. – Mycoses 2017

Chowdhary et al. – PLOS Pathogens 2017





## What makes *C. auris* a redoubtable pathogen?

- **It's often resistant to antifungals** (highly resistant to FLC ( $\text{MIC}_{90} > 64$  mg/L))
- 50% of isolates exhibit high MICs to VOR ( $> 2$  mg/L) and 15-30% to AMB ( $> 2$  mg/L)
- Few isolates proved to be resistant to all classes of antifungals (4%)
- **Multidrug-resistant organism - It's acting like a super bug!**

Kathuria et al. – J Clin Microbiol 2015  
Chowdhary et al. – J Hosp Infect 2016  
Chowdhary et al. – PLOS Pathogens 2017



## What makes *C. auris* a redoubtable pathogen?

- ATP Binding Cassette (ABC)-type **efflux activity** by Rhodamine 6G transport was significantly greater among *C. auris* than *C. glabrata* isolates, suggesting the intrinsic resistance of *C. auris* to azoles
- Whole genome sequencing (WGS) data shows *C. auris* to be a close phylogenetic relative of *C. lusitaniae*, a species recognized for intrinsic antifungal resistance
- Able to produce biofilms (CAS predominantly inactive against *C. auris* biofilms)

Chowdhary et al. – J Hosp Infect 2016

Ben-Ami et al. – Emerg Infect Dis 2017

Sharma et al. – New Microbes New Infect 2016



## What makes *C. auris* a redoubtable pathogen?

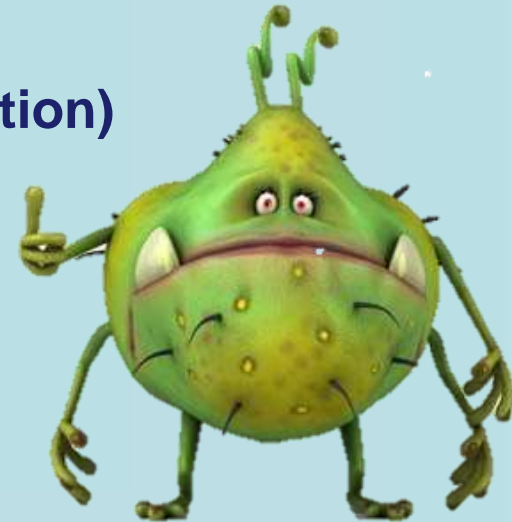
- It can spread in hospitals and nursing homes
- Prolonged persistent patient colonization at multiple anatomic sites (especially axilla and groin)
- *C. auris* can live on inanimate surfaces several weeks

Satoh et al. – Microbiol Immunol 2009  
Chowdhary et al. – J Hosp Infect 2016



## ***Candida* contamination of new-borns and neonates**

- Many sources / many ways
- Vaginal delivery (maternal fungal colonization)
- Patient to patient transmission
- Health care workers colonization
- Indoor environment contamination
- Contaminated infusates



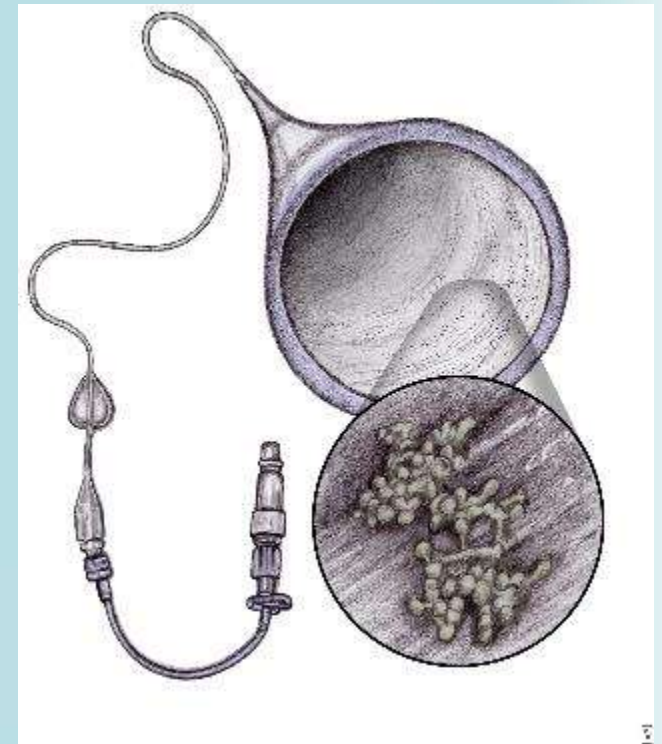
Kaufman and Fairchild – Clin Microbiol Rev 2004  
Armstrong et al. – CDC EIS Conference 2017



# About the microorganisms

## Transmission

- In preterm infants, vertical and horizontal transmission leads to colonization of the skin, mucosal membranes (GI and respiratory tracts), and central vascular catheters (biofilms)
- Broad-spectrum antibiotics (3rd generation cephalosporins), postnatal steroids (dexamethasone), histamine type-2 antagonists, parenteral nutrition contribute to an extensive colonization and dissemination



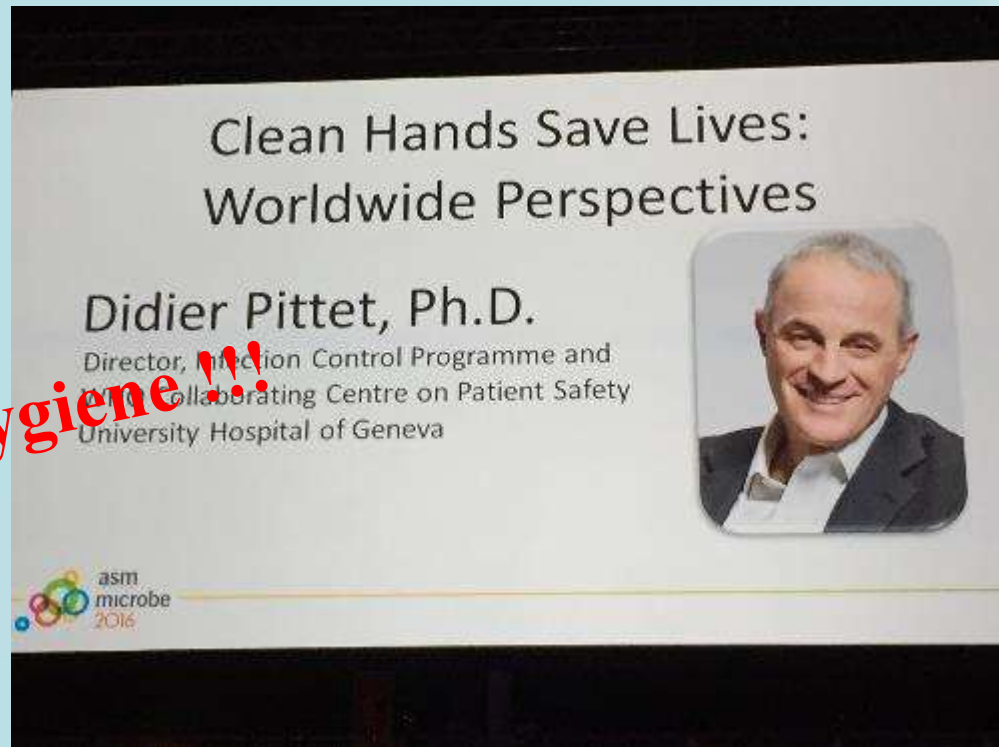
Kaufman 2014  
(<http://emedicine.medscape.com/article/980487>)



## How we can prevent *Candida* transmission in new-borns and neonates ?

- Strict adherence of healthcare workers to hand hygiene !!!
- Clean indoor environment in hospitals
- Decolonization of patients

## How we can prevent *Candida* transmission in new-borns and neonates ?



Hands hygiene !!!

# Since 2014: WHO Essential Medicines List







## How we can prevent *Candida* transmission in new-borns and neonates ?

### Clean indoor environment in hospitals

- Daily cleaning and disinfection of patient rooms are recommended, as well as terminal cleaning and disinfection between patients
- *C. auris* can persist weeks on surfaces in healthcare environments
- Quaternary ammonia products routinely used for disinfection may not be effective



## How we can prevent *Candida* transmission in new-borns and neonates ?

### Clean indoor environment in hospitals

- Exposure to  $H_2O_2$  vapors as per routine bio-decontamination technology in healthcare settings is 96.6-100% effective in killing *C. auris* and 100% for other clinically important *Candida* species
- Chlorine releasing agents at 1000 ppm for routine cleaning around patient bed areas and 10000 ppm for terminal environmental cleaning are active against *C. auris* and other *Candida* spp. (*C. parapsilosis* seems to need higher conc.)
- A disinfectant effective against *Clostridium difficile* spores is recommended (CDC) - List K of US Environmental Protection Agency



## How we can prevent *Candida* transmission in new-borns and neonates ?

### Decolonization should be considered...

- Mothers with vaginal candidiasis or a high load of vaginal yeasts (before the delivery) – topical antifungals
- Colonized patients
- Chlorhexidine gluconate and iodinated povidone are effective in killing *C. auris* and other *Candida spp.* at concentrations used in clinical practice
- Useful for decolonization of patients skin and decontamination of health care workers (Iodinated PVP > chlorhexidine gluconate)



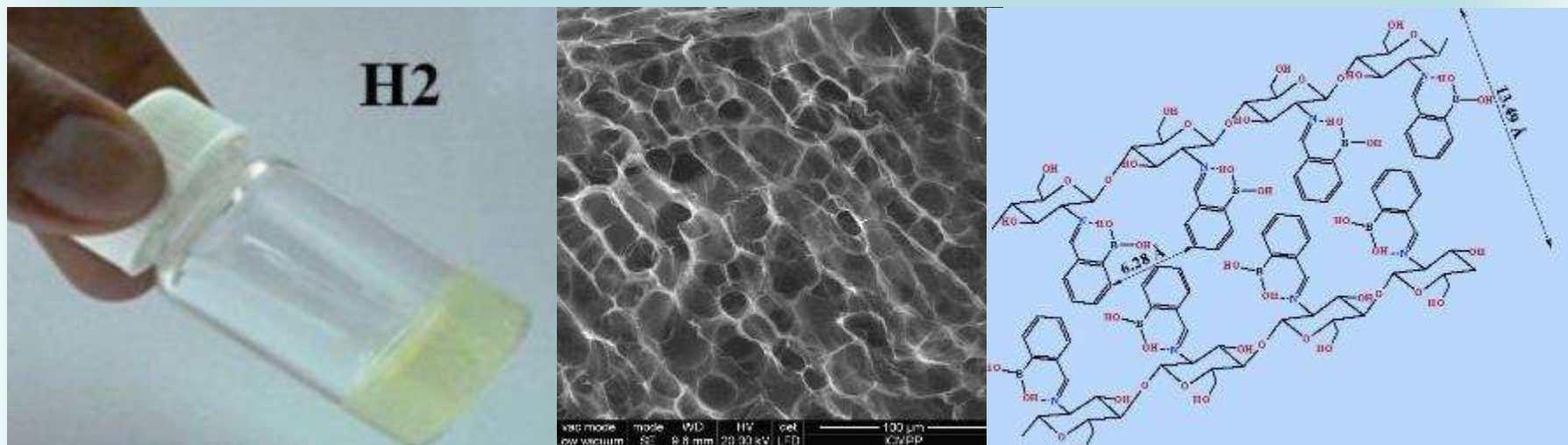
## My group experience



- **Supramolecular self-assembling hydrogel based on chitosan and 2-formylphenylboronic acid**
- **Designed for the treatment of vulvovaginal candidiasis and vaginal Candida decolonization**



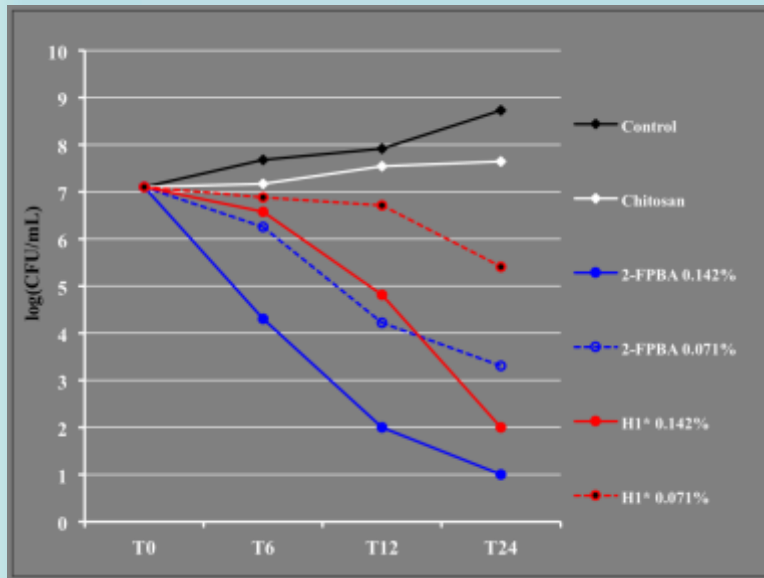
- Screening for boron derivatives with antifungal activity and ability to build supramolecular structures with natural polysaccharides (2-formylphenylboronic acid)



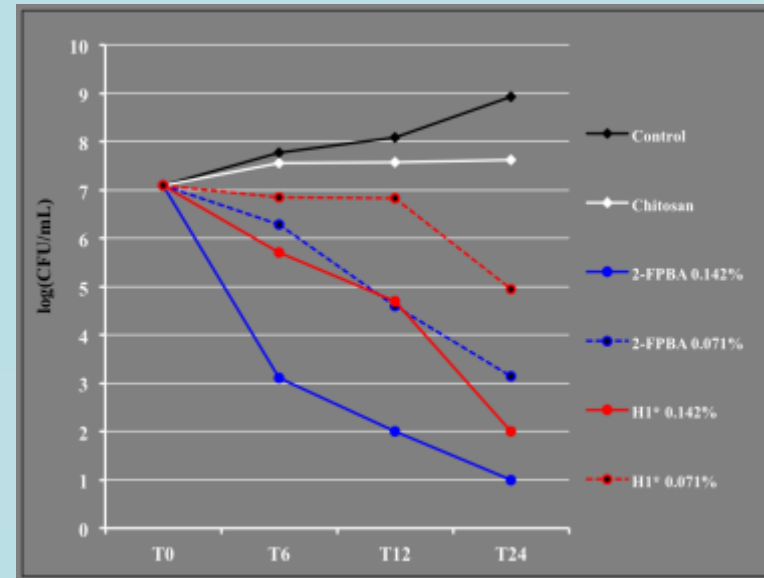
Ailincăi et al. – Carbohydr Polym 2016

- Antimicrobial evaluation of the complex compound in biomimetic conditions (synthetic vaginal simulative medium pH 4.2) and in a model of VVC in Balb/C mice
- Planktonic and biofilms of *C. albicans* and *C. glabrata* (*in vitro*)
- *C. albicans* (*in vivo*)

Ailincai et al. – Carbohyd Polym 2016



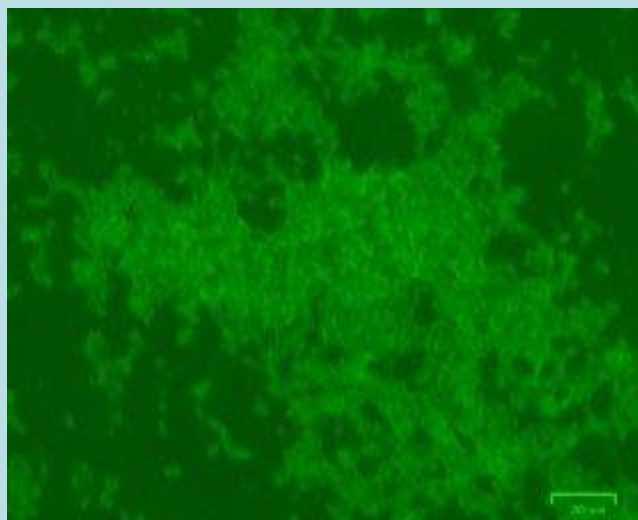
*Candida albicans*



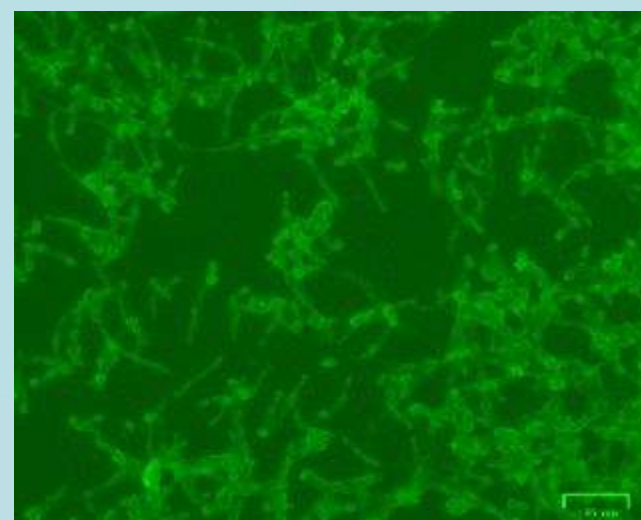
*Candida glabrata*

**Table 3.** XTT assay – Decreasing of biofilm metabolic activity

Tested strains	Control	0.142% 2-FPBA in H1*		0.284% 2-FPBA in H1*	
	Abs ( $\bar{x}$ )	Abs ( $\bar{x}$ )	% reduction	Abs ( $\bar{x}$ )	% reduction
<i>C. albicans</i> 1112	0.758	0.002	99.74	0.001	99.87
<i>C. glabrata</i> 1532	1.020	0.007	99.31	0.003	99.71



**Mature biofilm in a drug-free control well after 48 h: abundant matrix embedding the filaments and sessile yeast cells**

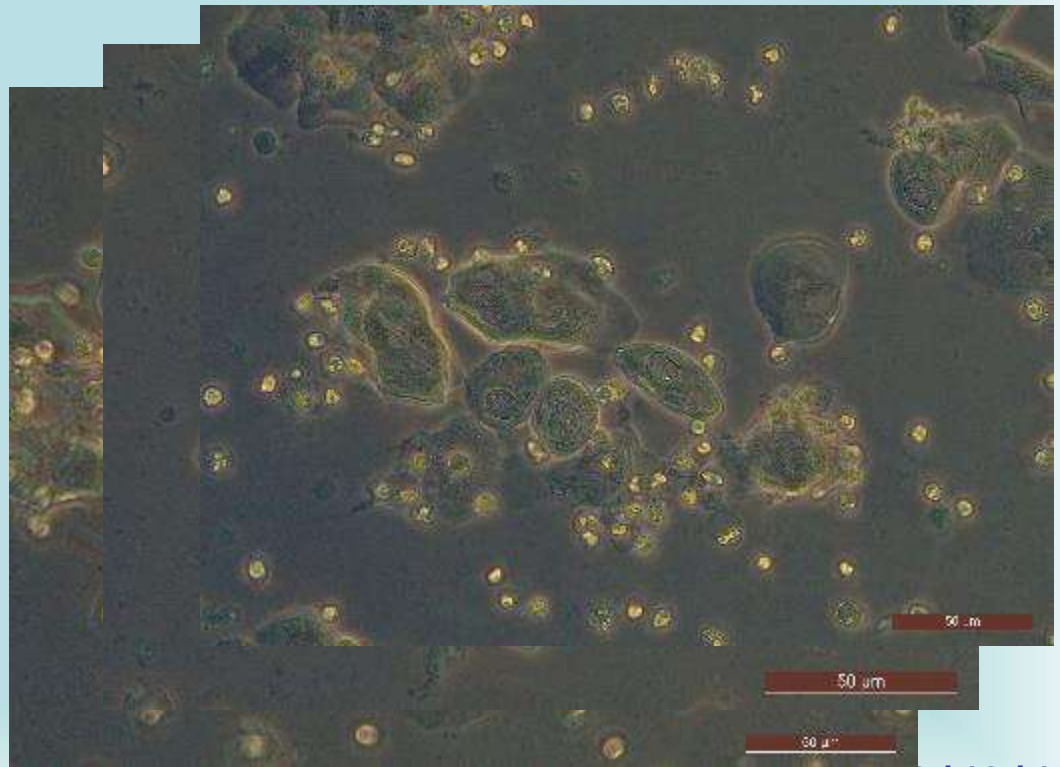


**Biofilm after the treatment with 0.284% 2-FPBA hydrogel, for 24 h: visible filaments and sessile yeast cells, matrix in trace amounts**





- **Murine model of VVC – *C. albicans* wild type SC5314** Yano and Fidel – J Vis Exp 2011
- **Balb/C mice**
- **3 log reduction (single dose) and > 5 log reduction (3 doses)**







## Take-home messages

- **Multiple sources and transmission ways**
- **Preterm birth (low weight) is the major risk factor**
- **Central vascular catheters are colonized (biofilms)**
- ***C. albicans* and *C. parapsilosis* more frequent, *C. auris* emergent threat**
- **Strict adherence to hand hygiene and hospital environment decontamination are extremely important for infection control**
- **Decolonization of skin/vagina should be considered**

